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\*Admitted only in Maryland  
\*Admitted only in Virginia  
\*Admitted only in Texas  
\*Practice Limited to  
Federal Agencies

October 22, 2002

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Commissioner for Patents  
Washington, D.C. 20231

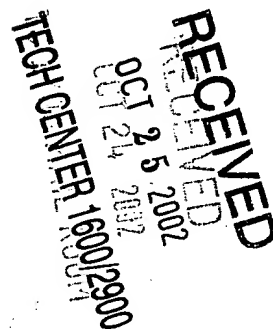
Art Unit 1614

Re: U.S. Utility Patent Application  
Appl. No. 09/956,980; Filed: September 21, 2001  
For: **Agents for Use in the Treatment of Alzheimer's Disease**  
Inventors: BUSH *et al.*  
Our Ref: 0609.4550001/JAG/FRC

Sir:

Transmitted herewith for appropriate action are the following documents:

1. Fee Transmittal Form (PTO/SB/17);
2. Request To Correct Inventorship In A Nonprovisional Patent Application Under 37 C.F.R. § 1.48(a)(1);
3. Statement Under 37 C.F.R. § 1.48(a)(2) of A Person To Be Added Or Deleted As An Inventor;
4. A Substitute Declaration for Patent Application (in five (5) parts);
5. Consent of the Assignee under 37 C.F.R. § 1.48(a)(5);
6. A copy of the Certificate under 37 C.F.R. § 3.73(b);
7. Our check no. **36966** in the amount of **\$130.00** to cover the fee Under 37 C.F.R. § 1.17(i); and
8. One (1) return postcard.



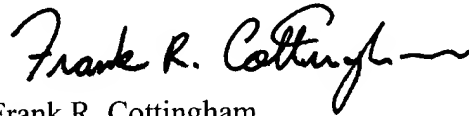
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October 22, 2002  
Page 2

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier. In the event that extensions of time are necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

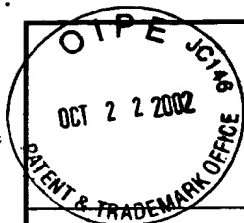
STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.



Frank R. Cottingham  
Attorney for Applicants  
Registration No. 50,437

JAG/FRC:kae  
Enclosures

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SKGF Rev. 2/15/02 dcw



# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$130.00)

## Complete if Known

Application Number	09/956,980
Filing Date	September 21, 2001
First Named Inventor	Ashley I. Bush
Examiner Name	Weddington, K.
Group Art Unit	1614
Attorney Docket No.	0609.4550001/JAG/FRC

## METHOD OF PAYMENT (check one)

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to:

Deposit Account Number 19-0036  
Deposit Account Name Sterne, Kessler, Goldstein & Fox P.L.L.C.

- ☒ Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17

- ☒ Applicant claims small entity status See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☒ Other\*  
\*Charge any deficiencies or credit any overpayments in the fees or fee calculations of Parts 1, 2 and 3 below to Deposit Account No. 19-0036.

## FEE CALCULATION

## I. BASIC FILING FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1) (\$) 0.00

## 2. EXTRA CLAIM FEES

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
Total Claims - 20** = X					
Indep. Claims - 3** = X					
Multiple Dependent					

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim
108	84	209	42	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 0.00

\*\* or number previously paid, if greater; For Reissues, see above

## 3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
105	130	205	65	Surcharge - late filing fee or oath
127	50	227	25	Surcharge - late provisional filing fee or cover sheet
139	130	139	130	Non-English specification
147	2,520	147	2,520	For filing a request for ex parte reexamination
112	920*	112	920*	Requesting publication of SIR prior to Examiner action
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action
115	110	215	55	Extension for reply within first month
116	400	216	200	Extension for reply within second month
117	920	217	460	Extension for reply within third month
118	1,440	218	720	Extension for reply within fourth month
128	1,960	228	980	Extension for reply within fifth month
119	320	219	160	Notice of Appeal
120	320	220	160	Filing a brief in support of an appeal
121	280	221	140	Request for oral hearing
138	1,510	138	1,510	Petition to institute a public use proceeding
140	110	240	55	Petition to revive - unavoidable
141	1,280	241	640	Petition to revive - unintentional
142	1,280	242	640	Utility issue fee (or reissue)
143	460	243	230	Design issue fee
144	620	244	310	Plant issue fee
122	130	122	130	Petitions to the Commissioner
123	130	123	130	Petitions related to provisional applications
126	180	126	180	Submission of Information Disclosure Stmt
581	40	481	40	Recording each patent assignment per property (times number of properties)
146	740	246	370	Filing a submission after final rejection (37 CFR 1.129(a))
149	740	249	370	For each additional invention to be examined (37 CFR 1.129(b))
179	740	279	370	Request for Continued Examination (RCE)
169	900	169	900	Request for expedited examination of a design application

Other fee (specify): Request to Correct Inventorship in Nonprovisional Patent Appl. Under 37 C.F.R. § 1.48(a)(1)

Other fee (specify):

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 130.00

## SUBMITTED BY

Name (Print/Type) Frank R. Cottingham

Registration No. 50,437

Telephone

202-371-2600

Signature

Frank R. Cottingham

Date

10/22/2

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231. SKGF\_PCT1:64040.1

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OCT 25 2002



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

BUSH *et al.*

Appl. No. 09/956,980

Filed: September 21, 2001

For: **Agents for Use in the Treatment of  
Alzheimer's Disease**

Confirmation No.: 6687

Art Unit: 1614

Examiner: Weddington, K.

Atty. Docket: 0609.4550001/JAG/FRC 11/6/02

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OCT 25 2002  
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#11

**Request To Correct Inventorship In A Nonprovisional Patent  
Application Under 37 C.F.R. § 1.48(a)(1)**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

Applicants request that the United States Patent and Trademark Office correct inventorship in the above-captioned nonprovisional patent application for which an oath or declaration has already been filed. Specifically Applicants request that the Office change the inventorship in this application from:

Ashley I. Bush, Xudong Huang, Craig S. Atwood and Rudolph E. Tanzi

to

Ashley I. Bush, Xudong Huang, Craig S. Atwood, Rudolph E. Tanzi and Robert Cherny.

This request is accompanied by:

- (1) A statement from the person to be added as an inventor, stating that the error in inventorship was made without deceptive intent on his part;
- (2) A Declaration (in five (5) parts) by the actual inventors as required by 37 C.F.R. § 1.63;
- (3) The written consent of the assignee of the originally named inventors;

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- (4) A copy of the Certificate under 37 C.F.R. § 3.73(b) executed by the assignee named in part (3) as filed in the parent application, U.S. application No. 09/038,154, on July 24, 1998; and
- (5) The processing fee as set forth under 37 C.F.R. § 1.17(i), in our attached check no. 36966 for \$130.00.

Applicants hereby authorize the U.S. Patent and Trademark Office to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.



Frank R. Cottingham  
Attorney for Applicants  
Registration No. 50,437

Date: 10/22/02

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